JC14 Rec'd PCT/PTO 03 MAY 2005 FORM PTO 1449 (modified) ATTY DOCKET NO 03500.017772 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE PCT/JP03/15948 LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary) **APPLICANT** Tohru Den et al. FILING DATE GROUP **December 12, 2003** U.S. PATENT DOCUMENTS \*EXAMINER FILING DATE **DOCUMENT** INITIAL NUMBER DATE NAME **CLASS** SUBCLASS W.C./ 6,401,526 B1 06/11/02 73 105 Dai et al. /W.C./ 2002/0114949 A1 08/22/02 Bower et al. 428 401 W.C./ 2002/0175408 A1 11/28/02 Majumdar et al. 257 734 /W.C./ 2002/0130311 A1 09/19/02 Lieber et al. 257 1 W.C.I 6,231,744 B1 05/15/01 Ying et al. 205 324 W.C./ 6,359,288 B1 03/19/02 Ying et al. 257 14 W.C./ 2003/0132461 A1 07/17/03 Roesner et al. 257 213 FOREIGN PATENT DOCUMENTS TRANSLATION YES/NO/ OR ABSTRACT **DOCUMENT** DATE COUNTRY CLASS SUBCLASS NUMBER EP 0 951 047 A2 W.C./ 10/20/99 **Europe** WO /W.C./ 02/11216 A1 02/07/02 International **Abstract** OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.) Maggie Paulose et al., "Self-Assembled Fabrication of Aluminum-Silicon Nanowire Networks," 81(1) Appl. Phys. Lett. 153-55 (July 2002). W.C./ John C. Hulteen et al., "A General Template-Based Method for the Preparation of Nanomaterials," 7(7) <u>J. Mater. Chem.</u> 1075-87 (1997). W.C./

Sheet\_1\_ of \_2\_

Form #62

**EXAMINER** 

Digitally signed by W. David Coleman DN: cn=W. David Coleman, c=US, ⊾o=USPTO, ou=2823, email=William.

**DATE CONSIDERED** 

Coleman@uspto.gov

Reason: I have reviewed this document Date: 2007.06.19 11:31:19 -04'00'

06/19/2007

06/19/2007

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified)

## U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)

## ATTY DOCKET NO. 03500.017772

APPLICATION NO. N.Y.A./
Nat'l Stage of
PCT/JP03/15948

ADE	21 10	TMA

Tohru Den et al.

FILING DATE

**GROUP** 

			December 12, 2003		<del></del>	Y::A:
		U.S	. PATENT DOCUMENTS			
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIAT
/W.C./	2003/0089899 A1	05/15/03	Lieber et al.	257	9	
/W.C./	2002/0117659 A1	08/29/02	Lieber et al.	257	14	
W.C./	2002/0172820 A1	11/21/02	Majumdar et al.	428	357	· · · · · · · · · · · · · · · · · · ·
/W.C./	2002/0178846 A1	12/05/02	Dai et al.	73	886.5	
/W.C./	6,278,231 B1	08/21/01	lwasaki et al.	313	310	
/W.C./	2001/0028872 A1	10/11/01	lwasaki et al.	423	447.3	
•						
· · · · · · · · · · · · · · · · · · ·		FORE	IGN PATENT DOCUMENTS			
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATIO YES/NO/ OR ABSTRAC
	OTHE	R DOCUMENT(S) (Ir	ncluding Author, Title, Date, Pertinent Pages, Etc.)	·		
					•	
EXAMINER			DATE CONSIDERED	06/19/20	07	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form #62

Digitally signed by W. David Coleman

DN: cn=W. David Coleman, c=US, o=USPTO,

ou=2823, email=William.Coleman@uspto.gov 06/19/2007

Reason: I have reviewed this document

Date: 2007.06.19 11:32:21 -04'00'

Sheet 2 of 2

FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE		ATTY DOCKET NO. 03500.017772	APPLICATION NO. 10/533,565					
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE PE LIST OF REFERENCES CITED BY APPOCANT(S) (Use several sheets if necessary)			APPLICANT  Tohru Den et al.					
		APR	0 5 2006 E	FILING DATE December 12, 2003	GROUP 2823			
		13.0		J.S. PATENT DOCUMENTS				
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
/W.C./		5,997,958	12/07/99	Sato et al.	427	468		
/W.C./		2004/0043208 A1	03/04/04	Fukutani et al.	428	304.4		
/W.C./		2004/0033339 A1	02/19/04	Fukutani et al.	428	137		
/W.C./		2006/0032526 A1	02/16/06	Fukutani et al.	136	205		
			FO	REIGN PATENT DOCUMENTS				
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT	
/W.C./	wo	2004/054924 A1	07/01/04	International				
/W.C./	JP	10-321834	12/04/98	Japan		:	Abstract	
					1.		•	
		OTHER	R DOCUMENT(S	(Including Author, Title, Date, Pertinent Pages, Etc.)				
R.C. Furneaux et al., "The Formation of Controlled-Porosity Membranes from Anodically Oxidized Aluminum," 337 Nature 147-49 (January 1989).								
			-	, , , , , , , , , , , , , , , , , , ,				
EXAMINER				DATE CONSIDERED	06/19/	2007		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form #62

NY\_MAIN 561132v1

Digitally signed by W. David Coleman DN: cn=W. David Coleman, c=US, o=USPTO, ou=2823, email=William.

Coleman@uspto.gov

Reason: I have reviewed this

document

Date: 2007.06.19 11:35:08 -04'00'

Sheet 1 of 1

06/19/2007